

Agenda

Meeting: Audit Committee

**Venue: Grand Meeting Room, County Hall,
Northallerton**

Date: Thursday 26 June 2014 at 1.30 pm

Note: Members are invited to attend a seminar concerning IT Strategy at 1.00pm in the Grand Meeting Room.

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public, subject to:- (i) the recording being conducted under the direction of the Chairman of the meeting; and (ii) compliance with the Council's protocol on audio/visual recording and photography at meetings, a copy of which is available to download below. Anyone wishing to record must contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. Any recording must be clearly visible to anyone at the meeting and be non-disruptive.
<http://democracy.northyorks.gov.uk/>

Business

1. **Election of Chairman.**
2. **Minutes of the meeting held on 6 March 2014.**
3. **Election of Vice-Chairman.**
4. **Public Questions or Statements.**

(Pages 1 to 9)

Members of the public may ask questions or make statements at this meeting if they have given notice to Ruth Gladstone of Democratic Services (*contact details below*) by midday on Monday 23 June. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct those taking a recording to cease while you speak.

5. **Progress on Issues Raised by the Committee** – Joint report of the Corporate Director – Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services).
(Pages 10 to 14)
6. **Annual Report of the Head of Internal Audit.**
(Pages 15 to 43)
7. **Corporate Governance** - Report of the Corporate Director – Strategic Resources.
(Pages 44 to 129)
8. **Governance Arrangements of the North Yorkshire Pension Fund** – Report of the Corporate Director – Strategic Resources.
(Pages 130 to 210)
9. **Deloitte's 2014/15 Audit Fee Letters.**
(Pages 211 to 217)
10. **Progress on 2014/15 Internal Audit Plan** - Report of the Head of Internal Audit.
(Pages 218 to 220)
11. **Internal Audit Work / Internal Control Matters for the Children and Young People's Services Directorate:-**
 - (a) Report of the Head of Internal Audit.
(Pages 221 to 235)
 - (b) Report of the Corporate Director – Children and Young People's Service Directorate.
(Pages 236 to 259)
12. **Review of Assurance over Value For Money** – Report of the Corporate Director – Strategic Resources.
(Pages 260 to 268)
13. **Business Continuity – Update Report** - Report of the Corporate Director – Strategic Resources.
(Pages 269 to 271)
14. **Risk Management – Progress Report** - Report of the Corporate Director – Strategic Resources.
(Pages 272 to 277)
15. **Programme of Work** – Report of the Corporate Director – Strategic Resources.
(Page 278)

16. Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances.

Barry Khan
Assistant Chief Executive (Legal and Democratic Services)

County Hall
Northallerton

18 June 2014

Notes:

- (a) Members are reminded of the need to consider whether they have any interests to declare on any of the items on this agenda and, if so, of the need to explain the reason(s) why they have any interest when making a declaration.

The relevant Democratic Support Officer or Monitoring Officer will be pleased to advise on interest issues. Ideally their views should be sought as soon as possible and preferably prior to the day of the meeting, so that time is available to explore adequately any issues that might arise.

- (b) **Emergency Procedures for Meetings**

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. From the **Grand Meeting Room** this is the main entrance stairway. If the main stairway is unsafe use either of the staircases at the end of the corridor. Once outside the building please proceed to the fire assembly point outside the main entrance

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

AUDIT COMMITTEE

1. Membership

County Councillors (8)							
	<i>Councillors Names</i>				<i>Political Party</i>		
1	ATKINSON, Margaret				Conservative		
2	BACKHOUSE, Andrew				Conservative		
3	BROADBENT, Eric				Labour		
4	CHANCE, David				Conservative		
5	CLARK, Jim				Conservative		
6	HOULT, Bill				Liberal Democrat		
7	JORDAN, Mike				Conservative		
8	PARSONS, Stuart				NY Independent		
Members other than County Councillors (3)							
1	Vacancy						
2	DAGLISH, James (current term of office expires on 27 June 2014)						
3	PORTLOCK, David (current term of office expires on 27 June 2014)						
Total Membership – (11)				Quorum – (3) County Councillors			
Con	Lib Dem	NY Ind	Labour	Liberal	UKIP	Ind	Total
5	1	1	1	0	0	0	

2. Substitute Members

Conservative		Liberal Democrat	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	FORT, John BEM	1	De COURCEY-BAYLEY, Margaret-Ann
2	HARRISON-TOPHAM, Roger	2	
3	SANDERSON, Janet	3	
4	METCALFE, Chris	4	
5		5	
NY Independent		Labour	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	BLACKIE, John	1	SHAW-WRIGHT, Steve
2	JEFFERSON, Janet	2	
3		3	
4		4	
5		5	